

Kinetics Mutual Funds, Inc.

# **IRA Transfer Form**

(If this is for a new IRA, an IRA Application must accompany this form.)

Mail to: Kinetics Mutual Funds, Inc. c/o U.S. Bank Global Fund Services PO Box 701 Milwaukee, WI 53201-0701

Overnight Express Mail to: Kinetics Mutual Funds, Inc. c/o U.S. Bank Global Fund Services 615 E. Michigan St., FL3 Milwaukee, WI 53202-5207

There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in section 6 to order this transfer. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

## **Investor Information**

FIRST NAME	M.I. LAST NAME		SOCIAL SECURITY NUMBER	
ADDRESS		CITY / STATE / ZIP		
DAYTIME PHONE NUMBER	EVENING PHONE NU	JMBER		

## Instructions to Current IRA Custodian or Plan Administrator

Please include a copy of your current account statement.

CURRENT CUSTODIAN OR PLAN ADMINISTRATOR FUND NAME, IF APPLICABLE								
ACCOUNT NUMBER CONTACT PERSON CONTACT NUMBER								
STREET ADDRESS CITY / STATE / ZIP								
Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below: *								
Please process this request:* □ Immediately OR □ At Maturity (month / day / year)								
* If no option is selected, please transfer all assets immediately.								
Instructions for Delivery (indicate how you want your current Trustee/Custodian to deliver the assets to U.S. Bank Global Fund Services) Wire - Funds available immediately upon receipt, your Custodian/Trustee may charge a fee for this service Check - Funds may not be available for 12-15 Business days First Class Mail Overnight Delivery - Take the fee from my account Overnight Delivery via Third Party – Charge the fee to my FedEx or UPS account								
□ FedEx □ UPS Account/Billing Number								
<ul> <li>Processing Instructions (indicate how you want us to initiate your transfer/rollover)</li> <li>Standard Processing Service- No Charge, transfer form will be sent via First Class Mail</li> <li>Overnight Delivery- \$15.00 fee, select one of the options below; if no selection is made we will use First Class Mail</li> <li>We will overnight your transfer form to your previous Custodian/Trustee</li> <li>Physical address must be provided, cannot overnight to a PO BOX</li> <li>Use the attached check made payable to U.S. Bank Global Fund Services</li> <li>Charge the \$15.00 fee to my third party billing provided below</li> <li>FedEx DVPS Account/Billing Number</li> </ul>								

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### 2 Instruction to Current IRA Custodian or Plan Administrator continued

Type of account being transferred/rolled-over:

Pension Derofit Sharing Plan Derofit (k) Derofit Sharing Plan Derofit (k) Dero

SEP IRA SIMPLE IRA Roth IRA Inherited IRA Other

Original Roth IRA funding year (if applicable):

Original SIMPLE IRA funding date (if applicable):

Send the check representing the assets payable to "The Kinetics Mutual Funds, Inc. FBO [Shareholder's Name]" along with a copy of this form to the address at the top of page one.

## **3** Investment Selection

A Kinetics Mutual Funds, Inc. IRA Application must be completed to process this transfer if a new account is being established. The Fund(s) and the allocation(s) specified on the application will be used if they are different from those indicated below.

	NEW	EXISTING	ACCOUNT # (IF APPLICABLE)	AMOUNT		%
The Internet Fund No Load 310 Class A 321 Class C 333					OR	
The Global Fund In No Load 315 In Class A 342 In Class C 343					OR	
The Paradigm Fund No Load 312 Class A 322 Class C 325 Institutional 326					OR	
The Small Cap Opportunities Fund No Load 317 Class A 324 Class C 331 Institutional 327					OR	
The Market Opportunities Fund No Load 328 Class A 329 Class C 330 Institutional 344					OR	
The Multi-Disciplinary Income Fund					OR	
The Spin-Off and Corporate Restructuring Fund No Load 649 Class A 008 Class C 019 Institutional 031					OR	

## 4 Required Minimum Distribution (RMD) Age Information

Check one of the following:

□ I am under the RMD age and do not turn RMD Age at anytime during this calendar year.

OR

□ I am RMD age or older and understand that no part of my RMD is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a rollover of my RMD occurs.

## 5 Conversion of Traditional IRA to Roth IRA - Optional

I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in Instruction to Current IRA Custodian or Plan Administrator section. I understand this may be a taxable event and that I am solely responsible for all tax consequences of this conversion.

The Fund's transfer agent will only process the conversion if you check the box above.

## 6 Signature and Certification Required by the Internal Revenue Service

I certify that I have established an IRA with the Kinetics Mutual Funds, Inc., of which U.S. Bank, N.A., is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

X	
SIGNATURE OF OWNER	DATE (MM/DD/YYYY)

SIGNATURE GUARANTEE\* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

IMPORTANT: Please contact your current Custodian to determine if a signature guarantee\* is required.

\* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

#### 7 Acceptance / Custodian Authorization

U.S. Bank, N.A., hereby accepts its appointment as Custodian of the above IRA and upon receipt of assets, will deposit such assets in a Kinetics Mutual Funds, Inc. IRA on behalf of the Depositor authorizing this transfer or direct rollover.

U.S. BANK, N.A.

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For additional information please call toll-free 1-800-930-3828 or visit us on the web at www.kineticsfunds.com.